



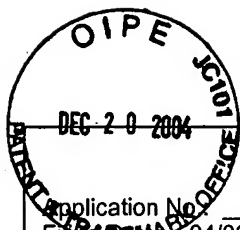
FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date indicated below with sufficient postage addressed to:

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*Judy L. Steinkraus*      *12/14/2004*  
\_\_\_\_\_  
Judy L. Steinkraus

Application No.: 10/821,464	Filing Date: 04/09/2004	Docket No.: 6055.P010x
Date Mailed: <b>12/14/04</b>	Due Date: <b>N/a</b>	
Client: Unicom Solutions		
Title: PRICING OF ENTERPRISE INFORMATION RESOURCE MANAGEMENT SYSTEMS		
First Named Inventor: Amaru, et al.		
<u>The following has been received in the U.S.P.T.O. on the date stamped hereon:</u>		
<b>Transmittal Letters &amp; Certificate of Mailing</b>	<b>Petitions &amp; Appeals</b>	
<input type="checkbox"/> Transmittal Letter	<input type="checkbox"/> Petition for Extension of Time:	
<input checked="" type="checkbox"/> Fee Transmittal (original & copy)	<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> RCE (Request for Continued Examination)	<input type="checkbox"/> Appeal Brief & two copies (____ pgs. each)	
<input type="checkbox"/> Transmittal of Formal Drawings	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input type="checkbox"/> Issue Fee Transmittal (original & copy)	<b>Other</b>	
<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Information Disclosure Statement & PTO/SB/08	
<input type="checkbox"/> Express Mail No.:	(____ pgs.) (previously 1449)	
<b>Missing Parts, Formal Papers</b>	<input type="checkbox"/> Request to Publish (Rescind NonPublication)	
<input type="checkbox"/> Response to Notice of Missing Parts	<input type="checkbox"/> Drawings: ____ sheets, ____ figures	
Assignment & Cover sheet (____ pgs.)	<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Declaration & POA (____ pgs.)	<b>Checks</b>	
<b>Amendment / Response</b>	<input checked="" type="checkbox"/> Check No. <u>69208</u> Amount <u>\$900.00</u>	
<input checked="" type="checkbox"/> Preliminary Amendment (17 pgs.)	<input type="checkbox"/> Check No. _____ Amount _____ \$	
<input type="checkbox"/> Terminal Disclaimer		
<input type="checkbox"/> Other: _____		

**AMENDMENT TRANSMITTAL****PATENT**

Application No. 10/821,464  
Filing Date 04/09/2004  
First Named Inventor Amaru, et al.  
Examiner's Name: Not yet assigned  
Art Unit: 2171  
Attorney Docket No.: 6055.P010x

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**

- ☒ Preliminary Amendment
- ☐ Amendment/Response with respect to Office Action
- ☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS citations
- ☐ Petition for Extension of Time
- ☒ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☐ Other: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_
- ☒ Check
- ☒ Postcard (Return Receipt)

**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  
TYPED OR PRINTED NAME: James C. Scheller  
SIGNATURE: [Signature]  
REG. NO.: 31,195  
DATE: 12/13/2004  
ADDRESS: 12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
TELEPHONE NO.: (408) 720-8300

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(10/14/03)



## FEE TRANSMITTAL FOR FY 2005

(FY 2005 Begins 10/01/2004. Fee changes made on 11/22/04 and 12/08/04 are included.)

TOTAL AMOUNT OF PAYMENT (\$) 900.00

Complete if Known:

Application No. 10/821,464

Filing Date 04/09/2004

First Named Inventor Amaru, et al.

Examiner Name Not yet assigned

Art Unit 2171

Attorney Docket No. 6055.P010X

Applicant claims small entity status. See 37 CFR 1.27.

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☐ Charge fee(s) indicated below.

☒ Credit any overpayments.

☒ Charge any additional fees during the pendency of this application.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

☐ Charge fee(s) indicated below except for the filing fee

### FEE CALCULATION

#### 1A. BASIC FILING FEE/SEARCH FEE/EXAMINATION FEE

Large Entity		Small Entity		Fee Description		Fee Paid
Code	Fee (\$)	Code	Fee (\$)			
1011	300	2011	150	Utility application filing fee	} 1,000/500*	_____
1111	500	2111	250	Utility search fee		_____
1311	200	2311	100	Utility examination fee		_____
1012	200	2012	100	Design application filing fee	} 430/215*	_____
1112	100	2112	50	Design search fee		_____
1312	130	2312	65	Design examination fee		_____
1013	200	2013	100	Plant filing fee	} 660/330*	_____
1113	300	2113	150	Plant search fee		_____
1313	160	2313	80	Plant examination fee		_____
1004	300	2004	150	Reissue filing fee	} 1,400/700*	_____
1114	500	2114	250	Reissue search fee		_____
1314	600	2314	300	Reissue examination fee		_____
1005	200	2005	100	Provisional application filing fee		_____
SUBTOTAL (1) \$						_____

\* List the filing, search, and examination fees separately, but pay concurrently.

**1B. APPLICATION SIZE FEE**

		<u>Extra Sheets</u>	<u>Fee from below</u>	<u>Fee paid</u>
Total Sheets	_____ - 100 =	_____ /50 = _____ * X _____		
		*(round up to integer)		
<u>Large Entity</u>	<u>Small Entity</u>			
Fee Code	Fee Code	Fee (\$)	Fee (\$)	<u>Fee Description</u>
1081	2081	250	125	Utility application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings)
1082	2082	250	125	Design application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings)
1083	2083	250	125	Plant application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings)
1084	2084	250	125	Reissue application fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings)
				<b>SUBTOTAL (2) \$ _____</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>77</u>	- 59** = <u>18</u>	X <u>50.00</u>	= \$900.00
Independent Claims	<u>9</u>	- 9** = <u>0</u>	X _____	= _____
Multiple Dependent			_____	= _____
**Or number previously paid, if greater; For Reissues, see below.				
<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>
Code (\$)	Code (\$)	Fee Description		
1202 50	2202 25	Claims in excess of 20		
1201 200	2201 100	Independent claims in excess of 3		
1203 360	2203 180	Multiple dependent claim, if not paid		
1204 200	2204 100	**Reissue independent claims over original patent		
1205 50	2205 25	**Reissue claims in excess of 20 and over original patent		
				<b>SUBTOTAL (3) \$ <u>900.00</u></b>

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Code	Fee (\$)	Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	_____
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	_____
1053	130	1053	130	Non-English specification	_____
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	_____
1813	8,800	1813	8,800	Request for inter parties reexamination	_____
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	_____
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	_____
1251	120	2251	60	Extension for reply within first month	_____
1252	450	2252	225	Extension for reply within second month	_____
1253	1,020	2253	510	Extension for reply within third month	_____
1254	1,590	2254	795	Extension for reply within fourth month	_____
1255	2,160	2255	1,080	Extension for reply within fifth month	_____
1401	500	2401	250	Notice of Appeal	_____
1402	500	2402	250	Filing a brief in support of an appeal	_____
1403	1,000	2403	500	Request for oral hearing	_____
1451	1,510	1451	1,510	Petition to institute a public use proceeding	_____
1452	500	2452	250	Petition to revive - unavoidable	_____
1453	1,500	2453	750	Petition to revive - unintentional	_____
1501	1,400	2501	700	Utility issue fee (or reissue)	_____
1502	800	2502	400	Design issue fee	_____
1503	1100	2503	550	Plant issue fee	_____
1464	130	1460	130	Petitions to the Commissioner (CFR 1.17(h) Group III)	_____
1463	200	1460	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	_____
1462	400	1460	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	_____
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	_____
1806	180	1806	180	Submission of Information Disclosure Stmt	_____
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	_____
1809	790	2809	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
1814	130	2814	65	Statutory Disclaimer	_____
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
1801	790	2801	395	Request for Continued Examination (RCE)	_____
1802	900	1802	900	Request for expedited examination of a design application	_____
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	_____
1505	300	1505	300	Publication fee for republication	_____
1803	130	1803	130	Request for voluntary publication or republication	_____
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	_____
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority	_____

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (4) \$ \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: James C. Scheller

Signature: 

Reg. Number: 31,195

Date: 12/13/2004

Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney's Docket No.: 6055.P010X

*HF*  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:

Ruthie Amaru, et al.

Application No. 10/821,464

Filed: April 9, 2004

For: PRICING OF ENTERPRISE  
INFORMATION RESOURCE  
MANAGEMENT SYSTEMS

Examiner: N/A

Art Unit: 2171

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on 12/14/04  
Date Judy Steinkraus

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

Applicant respectfully requests that the above-identified application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 17 of this paper.

12/22/2004 AWONDAF1 00000066 10821464

01 FC:1202

900.00 0P

Application No. 10/821,464  
Filing Date: 04/09/2004

1

Atty Docket No. 6055.P010X  
Preliminary Amendment